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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/811,459	03/26/2004	Corey J. Hansen	1717.040002

Raymond M. Galasso  
Simon, Galasso & Frantz PLC  
P.O. Box 26503  
Austin, TX 78755-0503

CONFIRMATION NO. 3997

FORMALITIES LETTER



\*OC000000012904159\*

Date Mailed: 06/10/2004

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

*Filing Date Granted*

**Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 385 to complete the basic filing fee for a small entity.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of **\$453** as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is **\$903** for a Small Entity

- **\$385** Statutory basic filing fee.
- **\$65** Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is **\$453**
  - **\$129** for 3 independent claims over 3.

08/16/2004 MAHHE1 00000104 501259 10811459

01 FC:2051 65.00 DA  
02 FC:2001 259.00 DA

- \$324 for 36 total claims over 20.

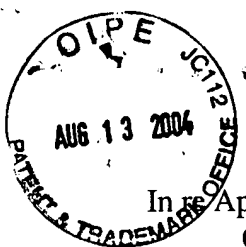
Replies should be mailed to: Mail Stop Missing Parts  
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*A copy of this notice MUST be returned with the reply.*

BINH  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Corey J. Hansen

: By the Examiner:

Serial No.: 10/811,459

: Group Art Unit:

Filed: March 26, 2004

Title: Automated Workflow and Collaborative Transaction Management For  
Making Residential Home Mortgages

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P.O. Box 1450  
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8(A)

Date of Mailing: August 10, 2004

I hereby certify that this correspondence is, on the date shown above, being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

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P.O. Box 1450  
Alexandria, VA 22313-1450

Type or Print Name Martha Rocha

*Martha Rocha*

Signature

Dear Sir:

TRANSMITTAL LETTER

Transmitted herewith for filing in the above-identified Nonprovisional application for patent are the following documents:

1. Response To Notice To File Missing Parts Of Nonprovisional Application;
2. Part 2-Copy of Notice To File Missing Parts Of Nonprovisional Application; and
3. Confirmation Postcard.

The Commissioner is hereby authorized to charge the fees associated with this communication or credit any overpayment to Simon, Galasso & Frantz Deposit Account No. 50-1259 under Reference Number 1717.040002.

Respectfully submitted,  
Corey J. Hansen

By:

*R ~ J*  
Raymond M. Galasso  
Reg. No. 37,832

SIMON, GALASSO & FRANTZ PLC  
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1717.040002

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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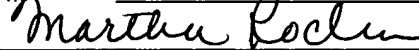
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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Type or Print Name Martha Rocha



Signature

Dear Sir:

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF  
NONPROVISIONAL APPLICATION**

This letter is submitted in response to the Notice To File Missing Parts of Nonprovisional Application dated June 10, 2004. Applicant responds by paying the total fees of \$903. This amount represents the statutory basic filing fee (\$385), additional claim fees (\$453); and late oath or declaration surcharge (\$65) identified in the Notice To File Missing Parts of Nonprovisional Application.

The Commissioner is hereby authorized to charge payment to Simon, Galasso & Frantz Deposit Account No. 50-1259 under Reference No. 1717.040002.

Respectfully submitted,  
Corey J. HansenBy: Raymond M. Galasso  
Reg. No. 37,832SIMON, GALASSO & FRANTZ PLC  
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